

BARON  POINT

**VICTOR H. SPERANDEO**

CHIEF EXECUTIVE OFFICER

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# OVERVIEW

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Victor Sperandeo is a legendary trader and excellent financial commentator based in the USA. Sperandeo has over 45 successful years on Wall Street in trading independently with his own money and on behalf of big names and other notable investors.

Trader Vic is widely regarded as an expert in commodities, particularly in the energy and metals sectors.

His market crash prediction during the September 1987 Barron's interview earned him great recognition and highlighted his deep understanding of financial markets.



In recent years, Trader Vic has become widely regarded for his futures market trading expertise and for developing financial indexes and trading methodologies.

- *Diversified Trends Indicator*<sup>®</sup> (*DTI*<sup>®</sup>), a long/short commodity and financial futures index, which AFT launched in 2004.
- *Commodity Trends Indicator*<sup>®</sup> (*CTI*<sup>®</sup>), a long/short commodity futures index, which AFT launched in 2004 as a sub-index of the *DTI*<sup>®</sup>.
- *Financial Trends Indicator*<sup>®</sup> (*FTITM*), a long/short currency and financial futures index, which AFT launched in 2004 as a sub-index of the *DTI*<sup>®</sup>.
- *Trader Vic Index*<sup>®</sup> (*TVI*<sup>®</sup>), a long/short managed futures index, which launched in 2009 and was sold to New World Strategies, LLC in 2021.
- *Diversified Algorithmic Fixed Income Alternatives* (*DAFI*), a rules-based, trend-following trading methodology that has the potential to perform similar to the Bloomberg US Agg. Bond Index but without the credit risk associated with bonds. *DAFI* track-record is indexed back to 1993 and went live in April 2021.

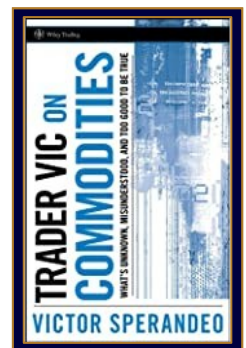
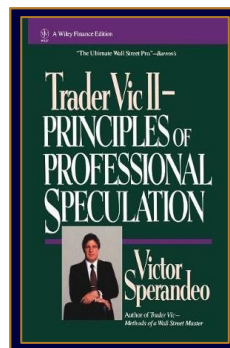
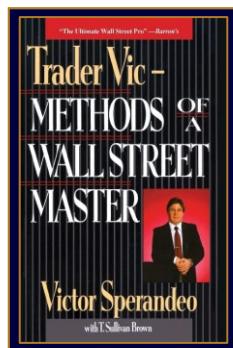
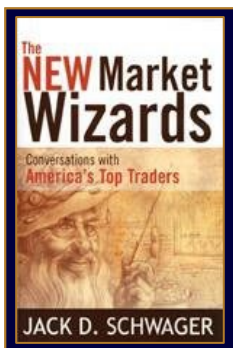


# OVERVIEW (CONTINUED)

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These indexes and trading methodologies have been utilized in a broad array of investments, including annuities, mutual funds, ETFs, and ETNs, in addition to hedge funds and separately managed accounts. High Water Marks for product includes approximately US\$ 6.4 billion (currently approximately US\$ 3.5 billion) in indexed product and over US\$ 650 million in hedge funds and separately managed accounts.

- Author of three books detailing his philosophy: *Trader Vic — Methods of a Wall Street Master*, *Trader Vic II — Principles of Professional Speculation*, and *Trader Vic on Commodities: What's Unknown, Misunderstood, and Too Good To Be True*
- 2008 Inductee into the Trader Hall of Fame by Trader Magazine and included on Ziad Adelnour's list of top 100 Wall Streeters
- Featured in the best-selling books *New Market Wizards* and *Super Traders*
- Twice profiled in Barron's: "Man of all Markets" on May 2, 1983, and "Trader Vic the Ultimate Wall Street Pro" on September 21, 1987. He has also written articles and editorials for dozens of other publications, such as The Wall Street Journal and Stocks & Commodities
- Appearances on financial programs on CNBC, CNN, Fox and other networks.



# MORE ABOUT TRADER VIC

- Barron's named him "Trader Vic" after his famous call of the 1987 stock market crash.
- Author of three acclaimed books on trading: *Trader Vic – "Methods of a Wall Street Master"*, *"Trader Vic II – Principles of Professional Speculation"*, and *"Trader Vic on Commodities – What's Unknown, "Misunderstood, and Too Good to Be True"*.
- Featured in the best-selling books, *The New Market Wizards* and *Super Traders*.
- Profiled *twice* in Barron's (Man of all Markets, on May 2, 1983, and Trader Vic the Ultimate Wall Street Pro, on September 21, 1987).
- Authored articles and editorials for, and has been quoted in, dozens of publications such as *The Wall Street Journal* and *Stocks & Commodities*.
- Frequently appears on CNBC, CNN, Fox and other networks.
- Included on Ziad Adelnour's list of top 100 Wall Streeters of all time.



## THE TRADER MONTHLY HALL OF FAME

TOM BALDWIN		CHICAGO BOARD OF TRADE
LEWIS BORSELLINO		CHICAGO MERCANTILE EXCHANGE
JIMMY CAYNE		BEAR STEARNS
MATT FESHBACH		FESHBACH BROTHERS
JOHN HENRY		JOHN W. HENRY & CO.
LARRY HITE		MINT INVESTMENT MANAGEMENT
BLAIR HULL		HULL TRADING COMPANY
ANDY KRIEGER		NORTHBRIDGE CAPITAL MANAGEMENT
BILL LIPSCHUTZ		HATHERSAGE CAPITAL MANAGEMENT
LEO MELAMED		CHICAGO MERCANTILE EXCHANGE
ARTIE REINHARDT		REINHARDT & MERZ
JOE RITCHIE		CHICAGO RESEARCH AND TRADING
JIM ROGERS		ROGERS INTERNATIONAL COMMODITIES INDEX
STEVEN SCHONFELD		SCHONFELD GROUP
MARTIN "BUZZY" SCHWARTZ		INDEPENDENT
ED SEYKOTA		INDEPENDENT
VICTOR SPERANDEO		ALPHA FINANCIAL TECHNOLOGIES
GEORGE WEISS		WEISS ASSOCIATES
MICHAEL STEINHARDT		STEINHARDT PARTNERS



# HIGHLIGHTED LICENSEES AND STRUCTURING BY MARKET SEGMENT

## Exchange Traded Funds



## US Mutual Funds



## UCITS III Funds



## Structured Products Distributors



## International Banks



# OTHER ACCOMPLISHMENTS

New Market Wizard, **Victor Sperandeo (“Trader Vic”)**, is a legend the world over and has successfully managed money for **George Soros, Leon Cooperman, T. Boone Pickens, and BT Alex Brown**. He has created products with over **US\$ 6.4 billion** linked to his strategies as well as other derivatives and techniques – implemented at global investment banks such as **HSBC, RBS, Goldman Sachs, Morgan Stanley, Bank of America Merrill Lynch, Arrow Funds and Rydex**.

